

APPENDIX A

**Ownership and Management Information
(Only for use when the subject institution is not a publicly traded entity
on one of the stock exchanges listed in Appendix B)**

If your institution is not a publicly traded entity on one of the stock exchanges listed in Appendix B, please list the names of the owners of your institution and their ownership interest. (For purposes of this questionnaire, an "owner" is any person or juridical entity that, directly or indirectly, owns, controls or has voting power of twenty percent (20%) or more of any class of securities of your institution.)

Name of Institution	Ownership Interest (%)	Nature of Ownership (Direct/Indirect)
1. Biser Bidco S.r.l., 5, rue Guillaume Kroll, L- 1882 Luxembourg, Grand Duchy of Luxembourg	100% owner of NKBM	Direct ownership of NKBM
2. Biser Topco S.r.l., 5, rue Guillaume Kroll, L-1882 Luxembourg, Grand Duchy of Luxembourg	100% owner of Biser Bidco	Indirect ownership of NKBM
2.1 AIF VIII EURO LEVERAGE L.P., c/o Intertrust Corporate Services (Cayman) Limited, 190 Elgin Avenue, George Town, Grand Cayman KY1 – 9005, Cayman Islands	80% owner of Biser Topco	Indirect ownership of NKBM
2.2 EBRD, One Exchange Square, London EC2A 2JN United Kingdom	20% owner of Biser Topco	Indirect ownership of NKBM

If any of the foregoing owners is a juridical entity, please list the names of the shareholders of the legal entity, and their ownership interest in the legal entity³. (Please attach additional sheets if necessary).

Name of Entity	Shareholders	Ownership Interest (%)	Nature of Ownership (Direct/Indirect)
Biser Bidco S.r.l., 5, rue Guillaume Kroll, L- 1882Luxembourg, Grand Duchy of Luxembourg	Biser Topco S.r.l., 5, rue Guillaume Kroll, L- 1882Luxembourg, Grand Duchy of Luxembourg	100%	Direct ownership of NKBM
Biser Topco S.r.l., 5, rue Guillaume Kroll, L- 1882Luxembourg, Grand Duchy of Luxembourg	1.EBRD, One Exchange Square, London EC2A 2JN, United Kingdom	20%	Indirect ownership of NKBM

	2. AIF VIII EURO LEVERAGE L.P., c/o Intertrust Corporate Services (Cayman) Limited, 190 Elgin Avenue, George Town, Grand Cayman KY1 – 9005, Cayman Islands	80%	Indirect ownership of NKBM
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Remark: in the ownership structure of NKBM d.d. there are no individuals with more than 10% ownership interest

3 If the second tier shareholders are also juridical entities, the third tier shareholder's names, ownership interests, and nature of ownership shall also be listed. This exercise should continue through the required number of iterations until the true beneficial owners are identified. Note: If any legal entity is publicly held, please indicate only that it is publicly held and the exchange on which shares are traded.

Please list the names of the principal officers and directors of your bank, their respective positions, and the number of years they have been employed by the bank. (Please attach additional sheets if necessary).

Name	Position	Years of Service
Appendix C		

Are there any Politically Exposed Persons⁴ among your Institution's ownership structure and executive management?

YES **NO**

4 Politically Exposed Persons (PEPs) are individuals who are or have been entrusted with prominent public functions, for example Head of State or of government, senior politicians, senior government, judicial or military officials, important political party officials, or their family members or close associates. The definition is not intended to cover middle ranking of more junior individuals in the foregoing categories (cf. FATF Forty Recommendations).

Stock Exchanges

- Alberta Stock Exchange
- American Stock Exchange
- Athens Stock Exchange
- Australian Stock Exchange
- Bermuda Stock Exchange
- Bolsa de Comercio de Buenos Aires
- Bolsa de Comercio de Santiago
- Bolsa de Valores de Caracas
- Bolsa de Valores de Lima
- Bolsa de Valores de Rio de Janeiro
- Bolsa de Valores de São Paulo
- Bolsa Mexicana de Valores
- Bolsas y Mercados Españoles
- Boston Stock Exchange
- Bourse de Luxembourg
- Bourse de Montreal
- BSE The Stock Exchange, Mumbai
- Bucharest Stock Exchange
- Budapest Stock Exchange Ltd.
- Chicago Board Options Exchange
- Chicago Stock Exchange
- Colombo Stock Exchange
- Copenhagen Stock Exchange
- Cyprus Stock Exchange
- Deutsche Börse Ag
- Euronext Amsterdam
- Euronext Belgium
- Euronext Brussels
- Euronext Lisbon
- Euronext Paris
- HEX Plc
- Hongkong Exchanges and Clearing
- Irish Stock Exchange
- Istanbul Stock Exchange
- Italian Exchange
- Jakarta Stock Exchange
- Jamaica Stock Exchange
- JSE Securities Exchange, South Africa
- Korea Stock Exchange
- Kuala Lumpur Stock Exchange
- Ljubljana Stock Exchange
- London Stock Exchange
- Malta Stock Exchange
- NASD
- National Stock Exchange of India, Ltd.
- National Stock Exchange of Lithuania
- New York Stock Exchange
- New Zealand Stock Exchange
- Osaka Securities Exchange
- Oslo Bors
- Philippine Stock Exchange
- Prague Stock Exchange
- Shanghai Stock Exchange
- Shenzhen Stock Exchange
- Singapore Exchange
- Stock Exchange of Hongkong
- Stock Exchange of Tehran
- Stock Exchange of Thailand
- Stockholmsbörsen
- SWX Swiss Exchange
- Taiwan Stock Exchange Corp.
- Tel Aviv Stock Exchange
- Tokyo Stock Exchange
- TSX Toronto Stock Exchange
- Vancouver Stock Exchange
- Warsaw Stock Exchange
- Wiener Börse Ag
- Winnipeg Stock Exchange

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